

Annexure-B

Data of complaints against Registrar and Share Transfer Agents (RTAs) to be displayed on their websites-

Format for disclosing data of complaints on their website:

Data for the month ending November 2022

S N	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month		Average Resolution time (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2	SEBI (SCORES)	NIL	1	NIL	1	NIL	NIL	8
3	Stock Exchanges (if relevant)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL	NIL	NIL
5	Grand Total	NIL	1	NIL	1	NIL	NIL	8

Month wise data for the current financial year (Nov 2022):

SN	Month	Carried forward From month previous	Received	Resolved	Pending
1	2	3	4	5	6
1	April, 2022	Nil	1	1	Nil
2	May, 2022	Nil	Nil	Nil	Nil
3	June, 2022	Nil	1	1	Nil
4	July, 2022	Nil	1	1	Nil
5	August, 2022	Nil	2	2	Nil
6	September, 2022	Nil	4	4	Nil
7	October, 2022	Nil	1	1	Nil
8	November 2022	Nil	1	1	Nil
	Grand Total	Nil	11	11	Nil

Trend of annual (Financial Year) disposal of complaints (for 5 years on rolling basis):

S No	Year	Carried forward from Previous year	Received	Resolved	Pending
1	2018-19	Nil	25	25	Nil
2	2019-20	Nil	13	13	Nil
3	2020-21	Nil	7	7	Nil
4	2021-22	Nil	3	3	Nil
5	2022-23	Nil	11	11	Nil
	Grand Total	Nil	59	59	Nil